

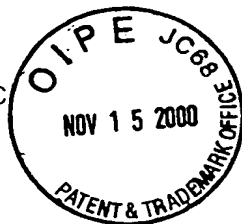


UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/617,116	07/14/2000	Manish Aghi	0609.4830001/KRM/SJB

Karen R. Markowicz
Sterne Kessler Goldstein & Fox P L L C
1100 New York Avenue N W
Suite 600
Washington, DC 20005



FORMALITIES LETTER



OC000000005412556

Date Mailed: 09/20/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 820.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/16/2000 SDENB001 00000011 09617116

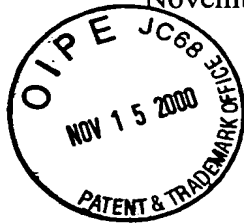
01 FC:201
02 FC:205

355.00 OP
65.00 OP

SECRET

Sterne, Kessler, Goldstein & Fox P.L.L.C.
1100 NEW YORK AVENUE, NW
SUITE 600
WASHINGTON, DC 20005-3934
Phone: (202) 371-2600 Facsimile: (202) 371-2540

November 15, 2000



WRITER'S DIRECT NUMBER:
(202) 371-2637
INTERNET ADDRESS:
KAREN.M@SKGF.COM

Commissioner for Patents
Washington, D.C. 20231

Box: Missing Parts

Re: U.S. Utility Patent Application
Appl. No. 09/617,116; Filed: July 14, 2000
For: **Folylpolyglutamyl Synthetase Gene Transfer To Enhance
Antifolate Drug Sensitivity**
Inventors: AGHI *et al.*
Our Ref: 0609.4830001/JAG/KRM

Sir:

The following documents are forwarded herewith for appropriate action by the U.S. Patent and Trademark Office:

1. PTO Fee Transmittal (Form PTO/SB/17) (*in duplicate*);
2. Notice to File Missing Parts of Nonprovisional Application;
3. A facsimile copy of an originally-signed Declaration for Patent Application, executed by Manish Aghi;
4. An original Declaration for Patent Application, executed by Christof M. Kramm;
5. An original Declaration for Patent Application, executed by Xandra O. Breakefield;
6. Recordation Form Cover Sheet (Form PTO-1595);
7. An original Assignment to The General Hospital Corporation, executed by Manish Aghi, official recordation and return of which is respectfully requested;
8. An original Assignment to The General Hospital Corporation, executed by Christof M. Kramm, official recordation and return of which is respectfully requested;
9. An original Assignment to The General Hospital Corporation, executed by Xandra O. Breakefield, official recordation and return of which is respectfully requested;
10. An originally executed Certificate Under 37 C.F.R. § 3.73(b);
11. An originally executed Power of Attorney from Assignee;
12. One (1) return postcard; and

Commissioner for Patents
November 15, 2000
Page 2

13. Our Check No. 29432 for \$460.00 to cover the following small entity fees:
\$ 355.00 Filing Fee for Patent Application (37 C.F.R. § 1.16);
\$ 65.00 Surcharge for late filing of Declaration (37 C.F.R. § 1.16); and
\$ 40.00 Recordation of Assignment documents (37 C.F.R. § 1.21).

It is respectfully requested that the attached postcard be stamped with the filing date of these documents and returned to our courier.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



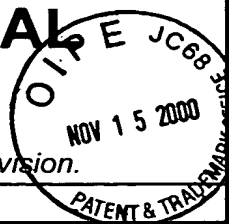
Karen R. Markowicz
Agent for Applicants
Registration No. 36,351

JAG/KRM/awt
Enclosures

FEE TRANSMITTAL

for FY 2001

Patent fees are subject to annual revision.



TOTAL AMOUNT OF PAYMENT (\$460.00)

Complete if Known

Application Number 09/617,116
Filing Date July 14, 2000
First Named Inventor Manish AGHI
Examiner Name To Be Assigned
Group Art Unit 1614
Attorney Docket No. 0609.4830001/JAG/KRM

METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:

Deposit Account Number 19-0036
Deposit Account Name Sterne, Kessler, Goldstein & Fox P.L.L.C.

☐ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

☒ Applicant claims small entity status See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☒ Other*

*Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036.

FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	355.00
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$) 355.00

2. EXTRA CLAIM FEES

Total Claims	Extra	Fee from below	Fee Paid
11 - 20** = 0	X 9.00	= 0.00	
Indep. Claims 1 - 3** = 0	X 40.00	= 0.00	
Multiple Dependent		= 0.00	

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim
108	80	209	40	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 0.00

** or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee paid
105	130	205	65	Surcharge - late filing fee or oath	65.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	481	40	Recording each patent assignment per property (times number of properties)	40.00
146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) :

Other fee (specify) :

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 105.00

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Karen R. Markowicz	Registration No. (Attorney/Agent)	36,351	Telephone	202-371-2600
Signature	<i>Karen R. Markowicz</i>	Date	November 15, 2000		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.
SKGF Rev. 10/2/00 mnae fee transmittal NTFMP